



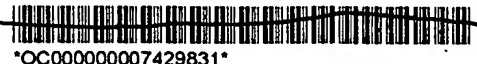
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,128	12/26/2001	David C. Collier	214

CONFIRMATION NO. 3434

## FORMALITIES LETTER



\*OC00000007429831\*

FRANK NGUYEN  
1341 Orleans Drive  
Sunnyvale, CA 94089

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Date Mailed: 02/06/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*R. Lucas*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

03/11/2002 ADSMAN1 00000048 130762 10036128

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February 21, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR: DAVID C COLLIER . ET AL  
SERIAL NUMBER: 10/036,128  
FILED: 12/26/2001

IN RE APPLICATION OF:

**Apparatus and Method for Accessing Material Using an Entity Locked Secured Registry**

Assistant Commissioner of Patents:

Dear Sir:

We are in receipt of the Notice of Missing Parts dated 2/6/2002. Attached is a:  
copy of that notice  
a signed declaration  
an assignment recordation sheet  
a signed assignment

Please debit deposit account 13-0762 the required \$130 fee for the late filing of the declaration as well as an other charges which may be required.

Respectfully Submitted,

Frank Nguyen  
Registration # 39790

Note: Please assign this case to Customer Number: 31665

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:, Assistant Commissioner for Patents, Washington, D.C. 20231 on December 31 2001.

Signature

2/22/02  
Date